

511.40998X00

3724\$
TFW**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: N. KOYAMA et al.

Serial No.: 10/018,188

Filed: DECEMBER 18, 2001

For: CMP ABRASIVE, METHOD FOR POLISHING SUBSTRATE
AND METHOD FOR MANUFACTURING SEMICONDUCTOR
DEVICE USING THE SAME, AND ADDITIVE FOR CMP
ABRASIVE

Group AU: 3724

Examiner: Timothy V. Eley

Confirm. No.: 7930

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98****Mail Stop: AMEND – FEE**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

February 9, 2006

SIR:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of a Supplementary European Search Report, in connection with a counterpart European patent application. Also enclosed are copies of documents cited in this Supplementary European Search Report. Further enclosed is a Form PTO/SB/08A and B, listing the enclosed documents.

This Information Disclosure Statement is being submitted subsequent to issuance of an Office Action on the merits in connection with the above-identified application, which is a non-final Office Action; and is being submitted after the filing of a Response to the aforementioned non-final Office Action, but, on information and belief, is being submitted prior to issuance of a final Office Action in the above-identified application. For satisfying requirements of 37 CFR 1.97(c), the fee set forth in 37 CFR 1.17(p) is enclosed.

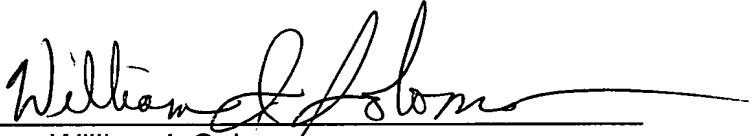
Of the documents cited in the enclosed Supplementary European Search Report, please note that EP 820 092 corresponds to U.S. Patent No. 6,420,269, previously cited by the Examiner in the Office Action mailed February 25, 2004, in the above-identified application.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with each of the documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon further examination of the above-identified application, is respectfully requested.

Please charge any shortage of fees due in connection with the filing of this paper to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (case No. 511.40998X00), or credit any excess fees paid to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

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PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
February 9, 2006			
<i>(use as many sheets as necessary)</i>			
Sheet	1	of	2
		Application Number	10/018,188
		Filing Date	12/18/2001
		First Named Inventor	Naoyuki KOYAMA
		Art Unit	3724
		Examiner Name	Timothy V. Eley
		Attorney Docket Number	511.40998X00

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US- 4,222,747		09/16/1980	J-C Dauguet, et al.	
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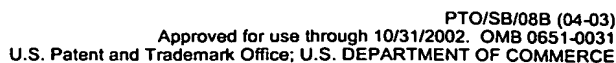
FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP 0 373 501	06/20/1990	S. K. Sasaki		
		EP 0 846 740	06/10/1998	M. Ronay		
		WO 99/64527	12/16/1999	V. Sachan, et al.		
		EP 0 820 092	01/21/1998	J. Matsuzawa, et al.		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Substitute for form 1449/PTO

February 9, 2006

(use as many sheets as necessary)

Complete if Known

Application Number	10/018,188
Filing Date	12/18/2001
First Named Inventor	Naoyuki KOYAMA
Group Art Unit	3724
Examiner Name	Timothy7 V. Eley
Attorney Docket Number	511.40998X00

Sheet	2	of	2
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NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the time burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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